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CMSC 341

Lecture 5 Asymptotic Analysis  
(Continued)

# Today's Topics

- More on Big O notation
  - Examples
  - Big Omega ( $\Omega$ )
  - Big Theta ( $\Theta$ )
- More detail on proof by induction
- In-class bonus question

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First, an Explanation  
from Last Class...

# Big O: Example 4

- Code:

```
sum2 = 0;
for (i = 1; i <= n; i++) {
    for (j = 1; j <= i; j++) {
        sum2++;
    }
}
```

- Complexity:


- Quadratic –  $O(n^2)$

But why???

# Big O: Example 4

- Code:

```
sum2 = 0;  
for (i = 1; i <= n; i++) {  
    for (j = 1; j <= i; j++) {  
        sum2++;  
    }  
}
```



how many times do  
we execute this  
statement?

$1 + 2 + 3 + 4 + \dots + n-2 + n-1 + n$

- Complexity:

- Quadratic –  $O(n^2)$

# Expressing as a summation

- Can we express this as a summation?

- Yes!

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

- Does this have a known formula?

- Yes!

- What does this formula multiply out to?

- $(n^2 + n) / 2$

- or  $O(n^2)$

# Other Geometric Formulas

- $O(n^3)$   $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$
- $O(n^4)$   $\sum_{i=1}^n i^3 = \frac{n^2(n+1)^2}{4}$
- $O(c^n)$   $\sum_{i=0}^n r^i = \frac{1 - r^{(n+1)}}{1 - r}$  , where  $r \neq 1$

# Big O: Example 5

- Code:

```
sum3 = 0;
for (i = 1; i <= n; i++) {
    for (j = 1; j <= i; j++) {
        sum3++; }
    }
for (k = 0; k < n; k++) {
    a[ k ] = k;
}
```

- Complexity:

- Quadratic –  $O(n^2)$

# Big O: Example 6

- Code:

```
sum4 = 0;
for (k = 1; k <= n; k *= 2)
    for (j = 1; j <= n; j++) {
        sum4++;
    }
```

- Complexity:

- $O(n \log n)$

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# More Help with Summations

- CodeTimeComplexityNotes.pdf
- AsymptoticAnalysisNotes.pdf
- MathReviewNotes.pdf
- Simplifying functions for geometric series
- Solving summations quickly
- Mathematical series shortcuts
- Reading sigma notation
- And more!

# Big Omega ( $\Omega$ ) and Big Theta( $\Theta$ )

# “Big” Notation (words)

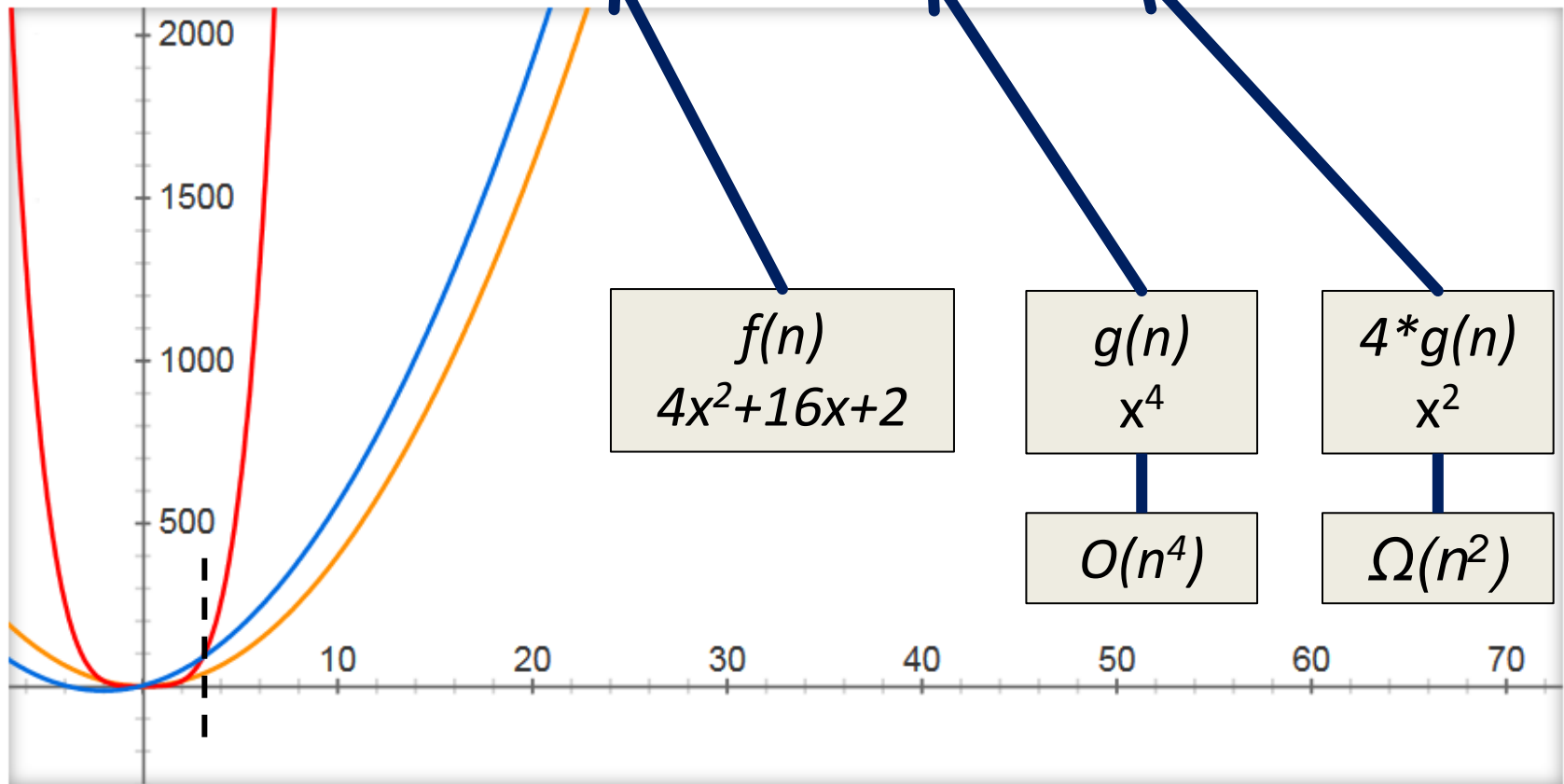
- Big O describes an *asymptotic upper bound*
  - The worst possible performance we can expect
- Big  $\Omega$  describes an *asymptotic lower bound*
  - The best possible performance we can expect
- Big  $\Theta$  describes an *asymptotically tight bound*
  - The best and worst running times can be expressed with the same equation

# “Big” Notation (equations)

- Big O describes an *asymptotic upper bound*
  - $f(n)$  is asymptotically **less than or equal to**  $g(n)$
- Big  $\Omega$  describes an *asymptotic lower bound*
  - $f(n)$  is asymptotically **greater than or equal to**  $g(n)$
- Big  $\Theta$  describes an *asymptotically tight bound*
  - $f(n)$  is asymptotically **equal to**  $g(n)$

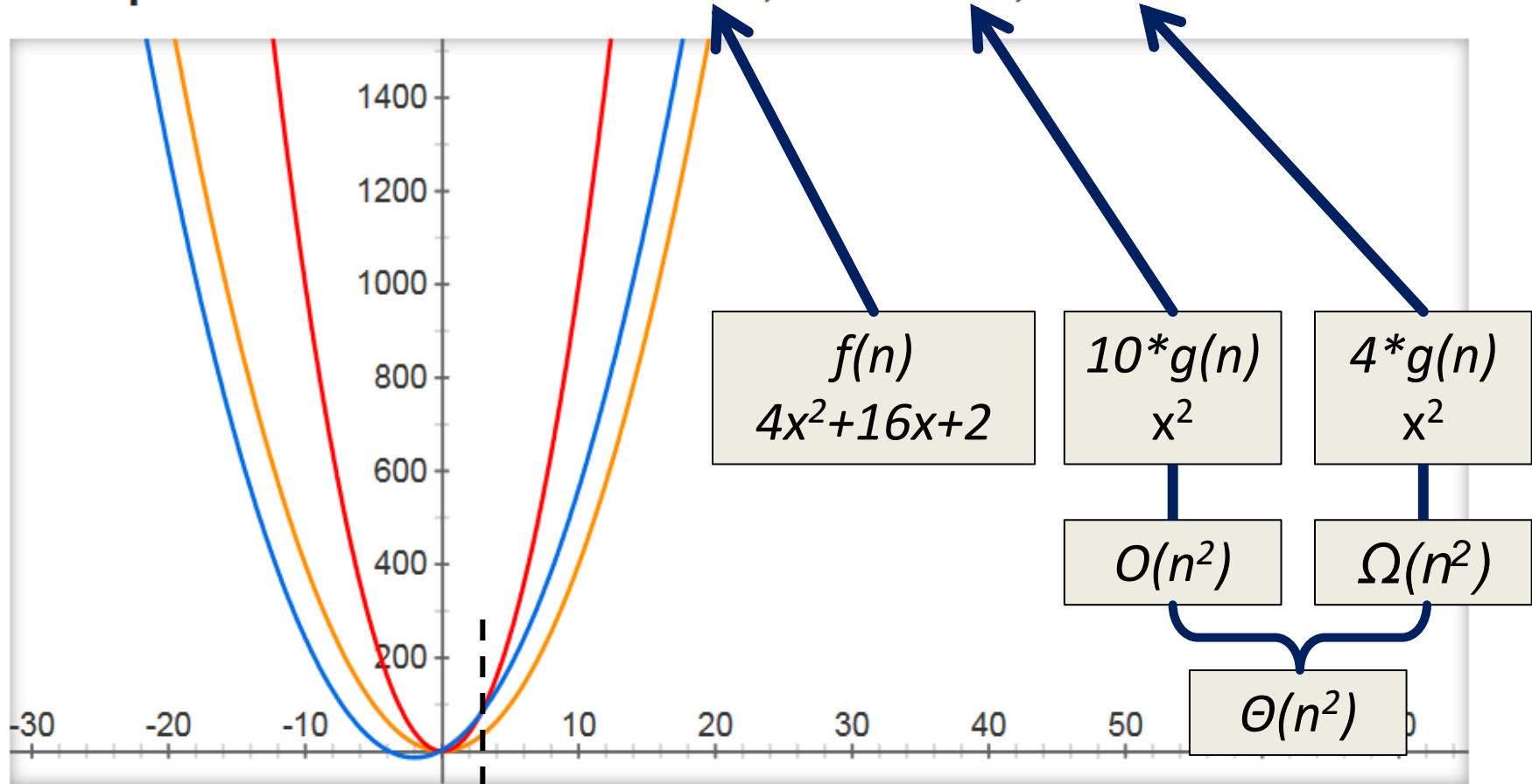
# Big O and Big Omega Example

Graph for  $4x^2+16x+2$ ,  $x^4$ ,  $4x^2$



# Big Theta Example

Graph for  $4x^2+16x+2$ ,  $10x^2$ ,  $4x^2$



# A Simple Example

- Say we write an algorithm that takes in an array of numbers and returns the highest one
  - What is the absolute fastest it can run?
    - Linear time –  $\Omega(n)$
  - What is the absolute slowest it can run?
    - Linear time –  $O(n)$
  - Can this algorithm be *tightly* asymptotically bound?
    - YES – so we can also say it's  $\Theta(n)$

# Proof by Induction

# Proof by Induction

- The only way to prove that Big O will work
  - As  $n$  becomes larger and larger numbers
- To prove  $F(n)$  for any positive integer  $n$ 
  1. Base case: prove  $F(1)$  is true
  2. Hypothesis: Assume  $F(k)$  is true for any  $k \geq 1$
  3. Inductive: Prove the if  $F(k)$  is true, then  $F(k+1)$  is true

# Induction Example (Step 1)

- Show that for all  $n \geq 1$  :  $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$

## 1. Base case:

- $n = 1$

- (This is our  $n_0$ )

$$\sum_{i=1}^1 i^2 = \frac{1(1+1)(2(1)+1)}{6}$$

$$\sum_{i=1}^1 i^2 = \frac{1(2)(3)}{6}$$

$$\sum_{i=1}^1 i^2 = \frac{6}{6}$$

$$\sum_{i=1}^1 i^2 = 1$$

# Induction Example (Step 2)

- Show that for all  $n \geq 1$  :

## 2. Hypothesis:

□ Assume that  $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$

holds for any  $n \geq 1$

# Induction Example (Step 3)

- Show that for all  $n \geq 1$  :  $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$

## 3. Inductive:

- Prove that if  $F(k)$  is true (assumed), the  $F(k+1)$  is also true
- We've already proved  $F(1)$  is true
- So proving this step will prove  $F(2)$  from  $F(1)$ , and  $F(3)$  from  $F(2)$ , ..., and  $F(k+1)$  from  $F(k)$

# Induction Example (Step 3)

$$\sum_{i=1}^{k+1} i^2 = \sum_{i=1}^k i^2 + (k+1)^2$$

$$\sum_{i=1}^{k+1} i^2 = \frac{k(k+1)(2k+1)}{6} + (k+1)^2$$

$$\sum_{i=1}^{k+1} i^2 = \frac{(k+1)(k(2k+1) + 6(k+1))}{6}$$

$$\sum_{i=1}^{k+1} i^2 = \frac{(k+1)(2k^2 + 7k + 6)}{6}$$

$$\sum_{i=1}^{k+1} i^2 = \frac{(k+1)(k+2)(2k+3)}{6}$$

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{i=1}^{k+1} i^2 = \frac{\underbrace{(k+1)}_{\text{from } (k+1)^2} \underbrace{((k+1)+1)}_{\text{from } (k+1)^2} \underbrace{(2(k+1)+1)}_{\text{from } (k+1)^2}}{6}$$

# Bonus Question!

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# Announcements

- Homework 2 is out
  - Due Thursday, September 21st at 8:59:59 PM
- Project 1 is out this week
  - Due Tuesday, September 26th at 8:59:59 PM