IS 651: Distributed Systems
Chapter 2: The Evolution of Distributed Systems

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Notes

- Please use Piazza, not email, for questions
  - You are very welcome to reply others' questions
  - You can have public/private posts
  - You can have pictures in your posts
  - Do not ask how to do the exercise/homework, ask clarification questions
  - Do not share your solutions with others
  - Show courtesy and update whether the help you got works
- Lecture videos will be on blackboard (Course Videos) once they are available
- Assignment presentation: To show diverse solutions, members in the same team should avoid presenting the same assignment
- All homework/exercises are due on Thursday
- Course website is https://userpages.umbc.edu/~jianwu/is651/651.syll.s21.html, and reference page is https://userpages.umbc.edu/~jianwu/is651/651.ref.s21.html

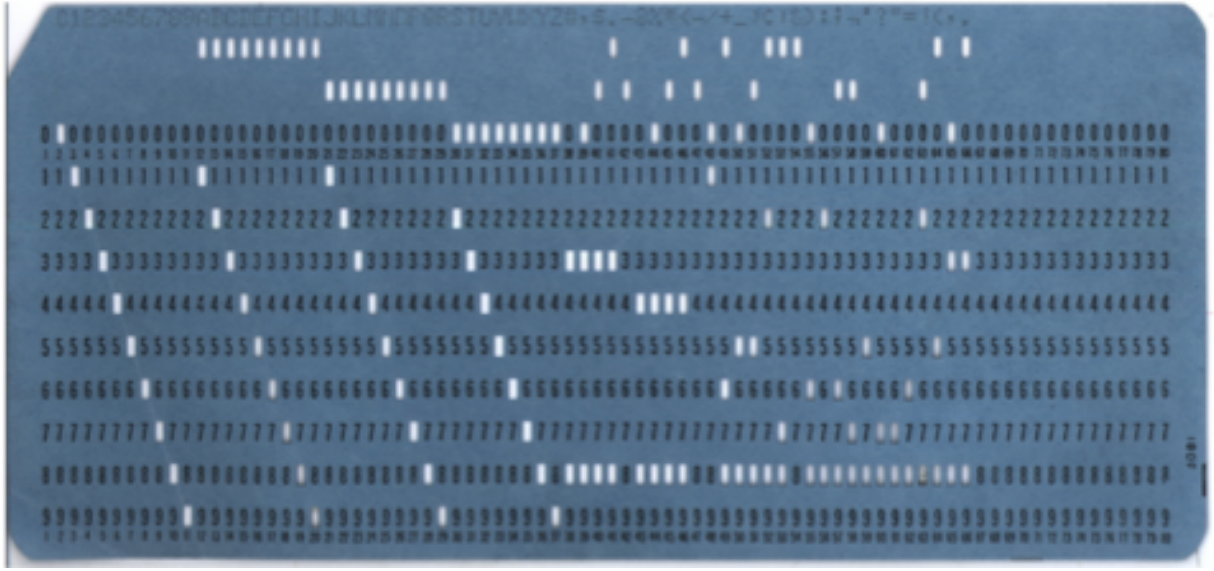
Learning Outcomes

- After learning chapter 2, you should be able to
  - Understand the different generations of distributed systems and the reason for the evolution
  - Understand new terms from the chapter: middleware, remote procedure call (RPC), message-oriented, Transaction, etc.
  - Write XML documents and validate them using DTD

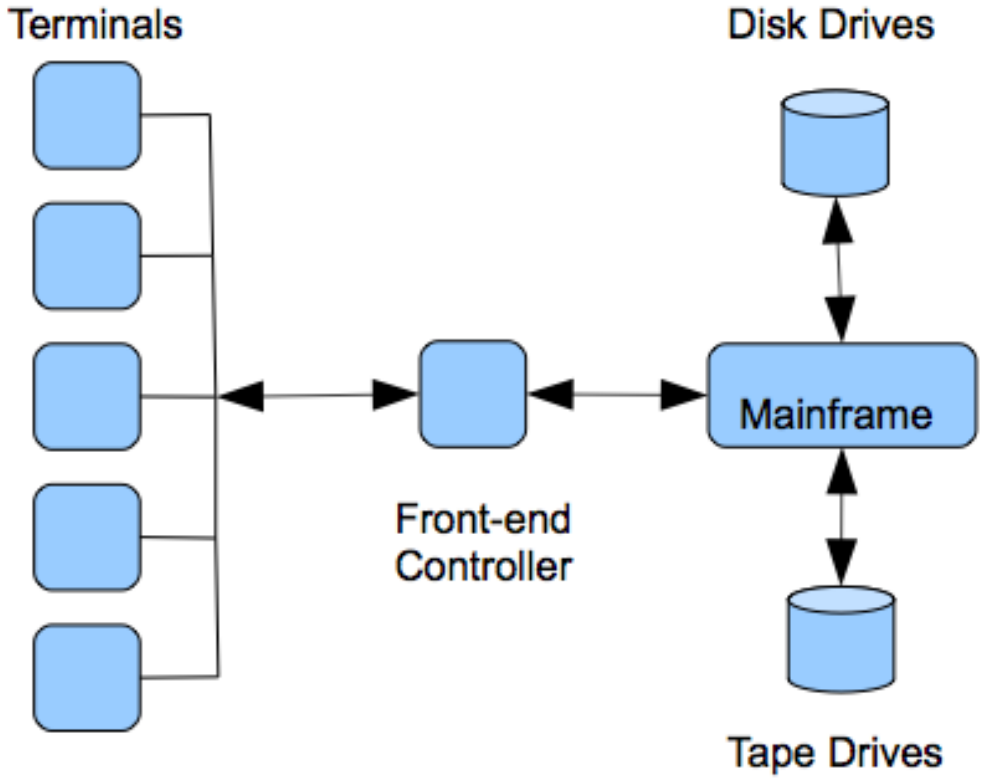
Basic Timeline of Distributed System Evolution

Mainframes 1960s
Client/server 1970s
2 and 3-tiered Systems 1980s
N-tier Systems 1990s
Services 2000s

Mainframe (1960s)



Punch Card



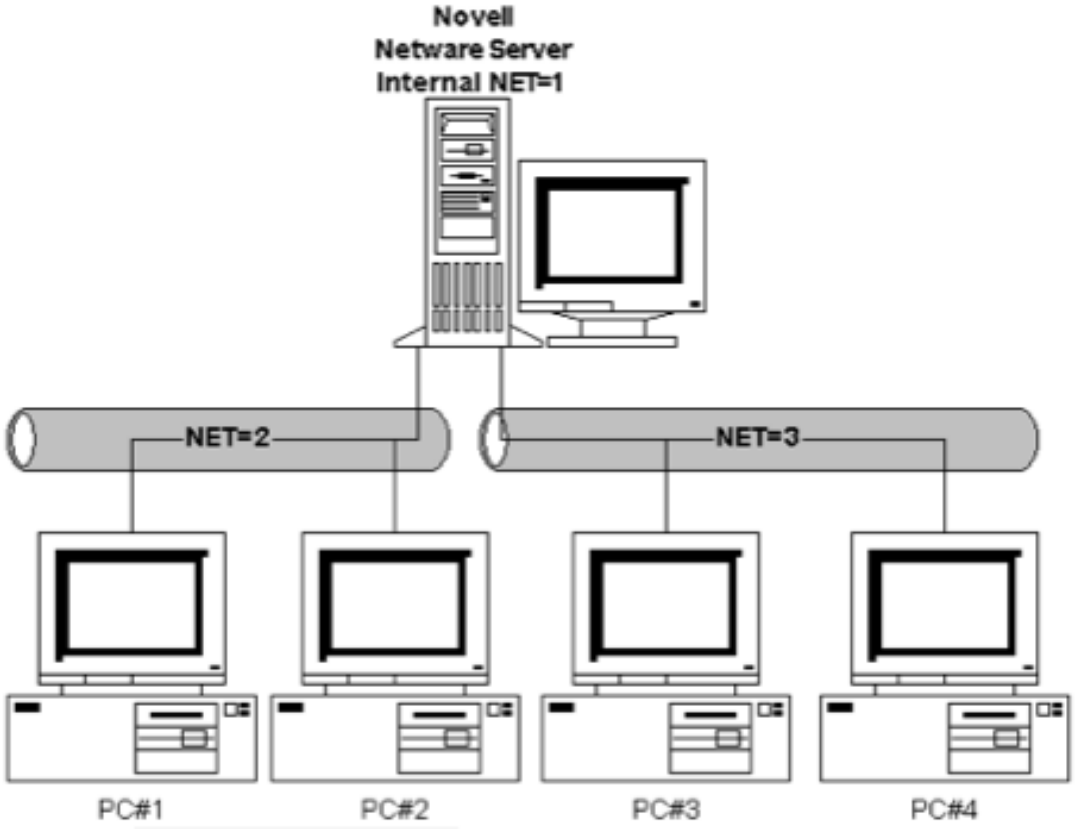
A typical mainframe architecture

Client/Server (1970s)

- Minicomputers: smaller computers (not Personal Computer yet)
- Ethernet: form local-area networking (LAN)
- X.25: wide-area networking (WAN) service
- Client server architecture
  - A client is a requestor process and a server is a responder process
  - One machine could be both client and server
- Beginnings of the Internet
  - ARPANET
  - TCP/IP stack

2 and 3-tier Systems (1980s)

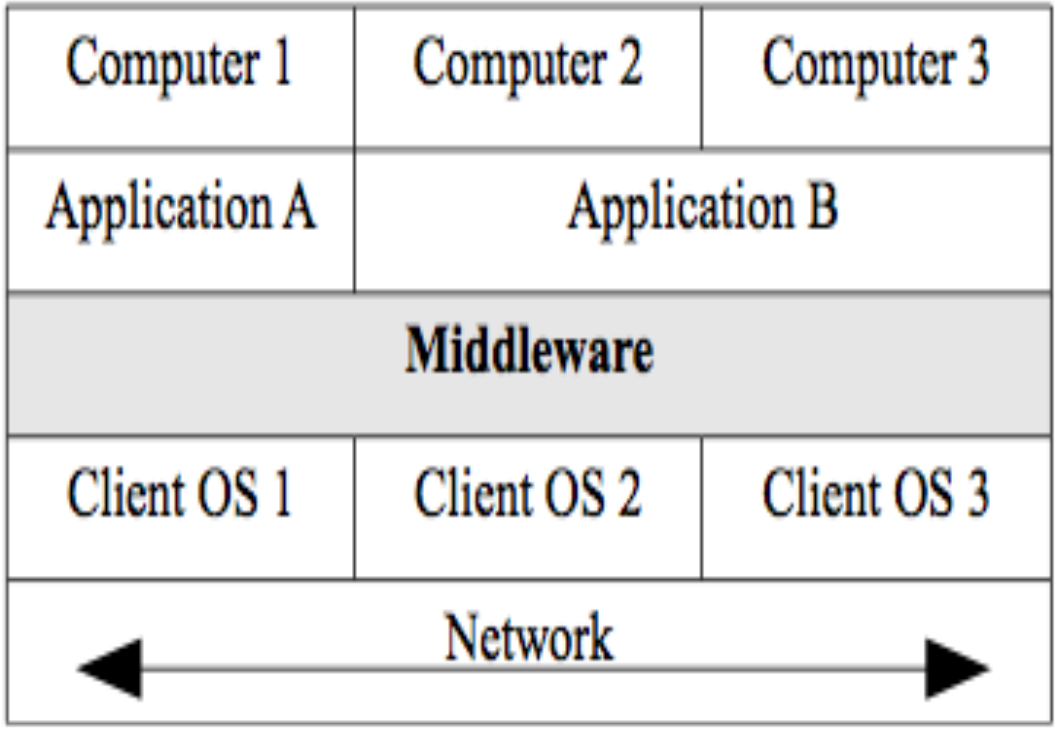
- Personal Computer
- NetWare file servers
- Network file system (NFS)
- Remote procedure call (RPC)
- 3-tier system: with an architectural middle tier, called the application server, and associated middleware
- Middleware



A 2-tier system

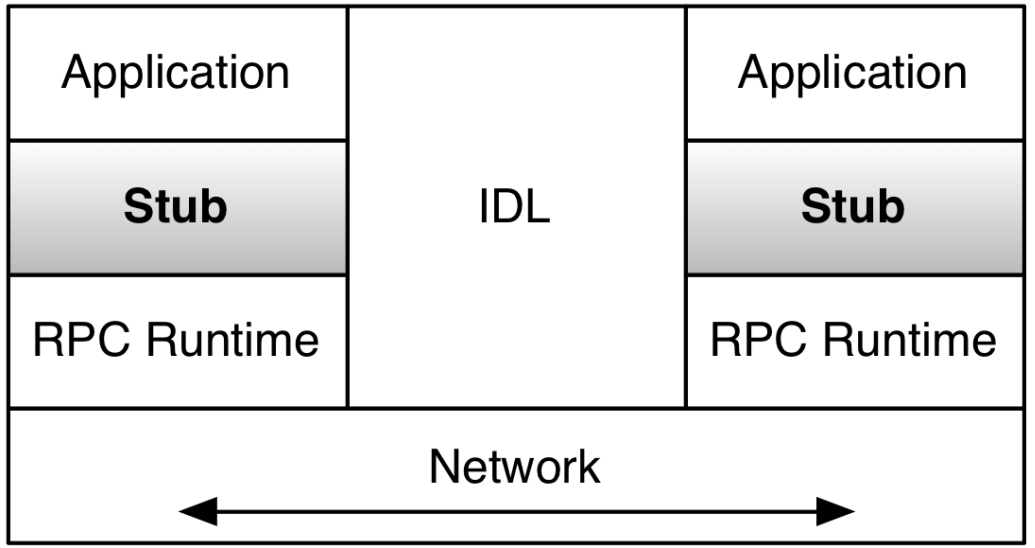
Middleware

- Middleware is the software layer that lies between the operating system and the applications on each side of a distributed computer network
- Middleware offers **general services** that can be used by many applications
  - Remote procedural call (RPC)
  - Distributed cache
  - Message queue
- Major types of middleware
  - Remote procedural call middleware
  - Message-oriented middleware



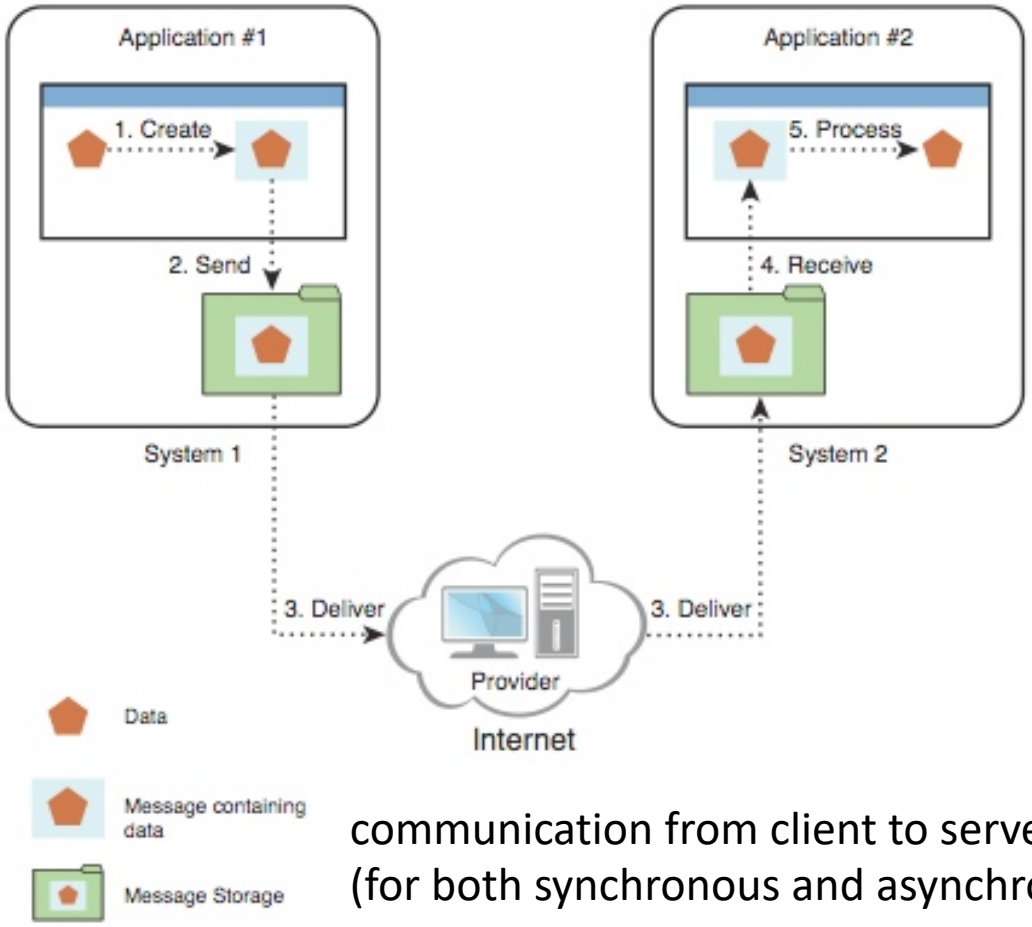
Remote Procedure Call (RPC) Middleware

- The application calls the remote procedure locally at the stub
- The stub intercepts calls that are for remote servers
  - Marshalling: pack the parameters into a message
  - Make a system call to send the message
- The RPC Runtime handles message sending
- The interface definition language (IDL) handles message translation
- RPC hides heterogeneity among the computers and handles the communication across network



Messaging Modes of Communication

- Synchronous (blocking)
  - RPC protocol is synchronous
  - When a client makes a remote call, the calling process blocks or waits until it gets a reply
- Asynchronous
  - The calling process just goes back to processing and is interrupted with a callback message when it does get the response
  - Message-oriented protocol supports it



communication from client to server
(for both synchronous and asynchronous)

Distributed File Systems

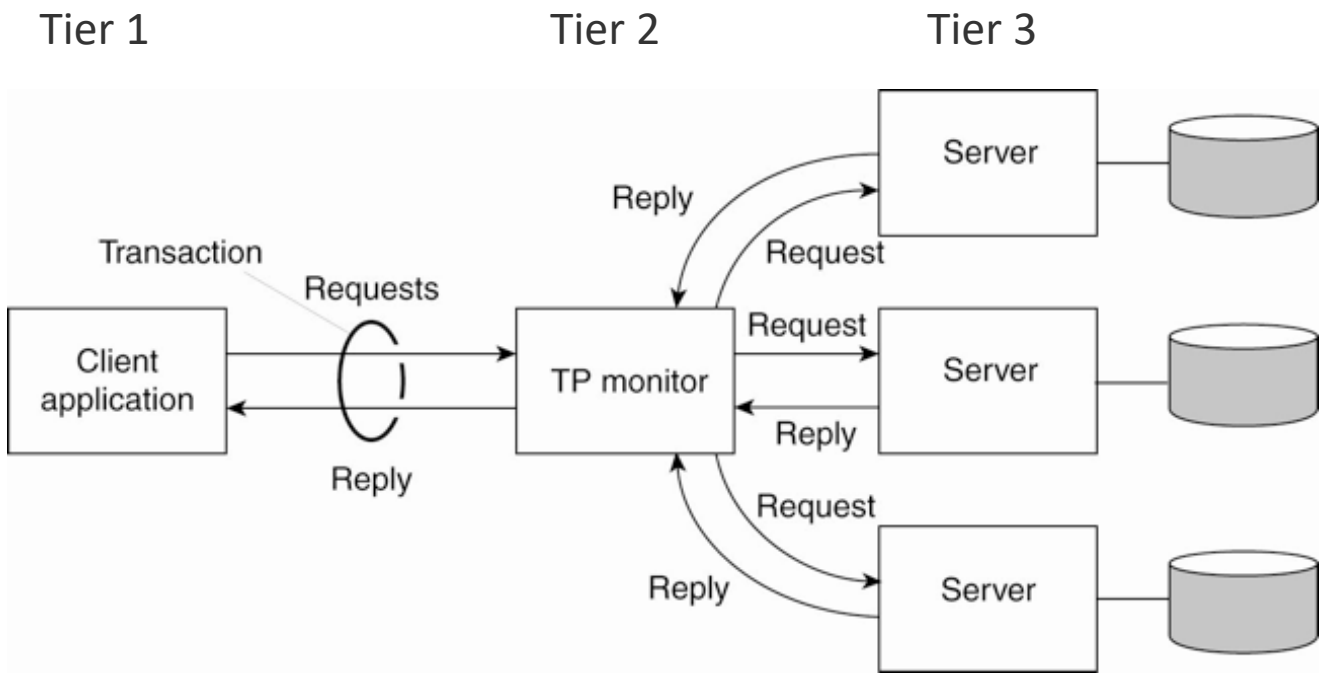
- A type of RPC middleware
- Allows users to mount directories from remote computers into their own local directory, so they appear as local
- NFS: network file system
- XDR: external data representation

OSI Layer	File System 1 ◀——▶	File System 2
Application	NFS	NFS
Presentation	XDR	XDR
Session	RPC	RPC
Transport	TCP	TCP

NFS distributed file system protocol stack

Transaction Middleware

- A database RPC middleware uses an explicit 3-tier architecture
- Transaction processing monitor (TPM) at middleware tier



Transactions

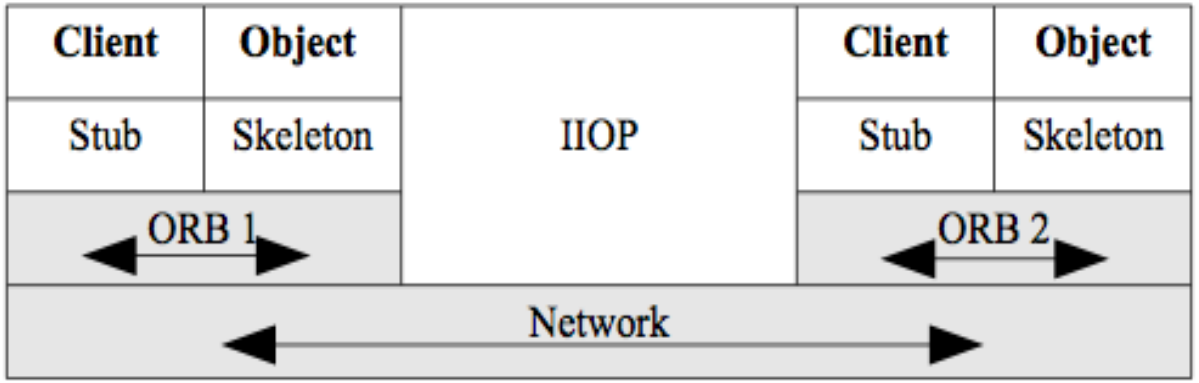
- All the participating operations on (distributed) resources should either *succeed* or *fail and recover* together
- 2-Phase Commit
  - commit-request phase: TPM request all the servers to commit and wait responses
  - commit phase: TPM decides either commit or abort based on responses
- A transaction is a unit of work with the following ACID properties
  - ATOMICITY: A transaction should be done or undone completely and unambiguously
  - CONSISTENCY: A transaction should transform the system from one consistent state to another consistent state
  - ISOLATION: Each transaction should appear to execute independently of other transactions that may be executing concurrently in the same environment
  - DURABILITY: The effects of a completed transaction should always be persistent

Object-Oriented RPC Middleware

- RPC-based distributed systems based on object-oriented programming principles
- Two main technologies
  - Common Object Request Broker Architecture (CORBA): a standard designed to facilitate the communication of systems that are deployed on diverse platforms
  - Distributed Component Object Model (DCOM): a proprietary Microsoft technology for software distributed across several networked computers to communicate with each other

CORBA

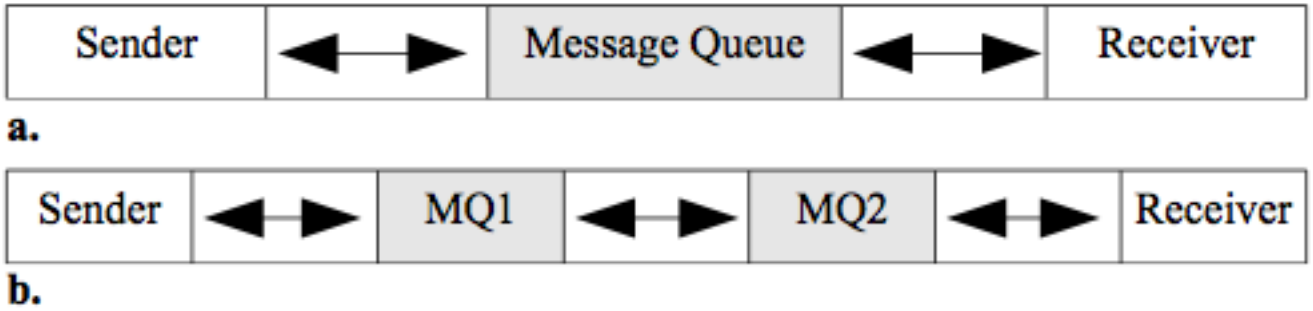
- Skeleton: stub for server object
- Object request broker (ORB)
  - Mediates a method call from one object to another local/remote object
- Internet inter-ORB protocol (IIOP)
  - Allows ORBs from different vendors to communicate over the Internet
- The client cannot tell whether the target object it communicates with is local or remote



common object request broker architecture (CORBA)

Message-Oriented Middleware (MOM)

- Point-to-point messaging (PTP): 1 to 1
  - Messages are sent to a queue, rather than directly to the intended receiver

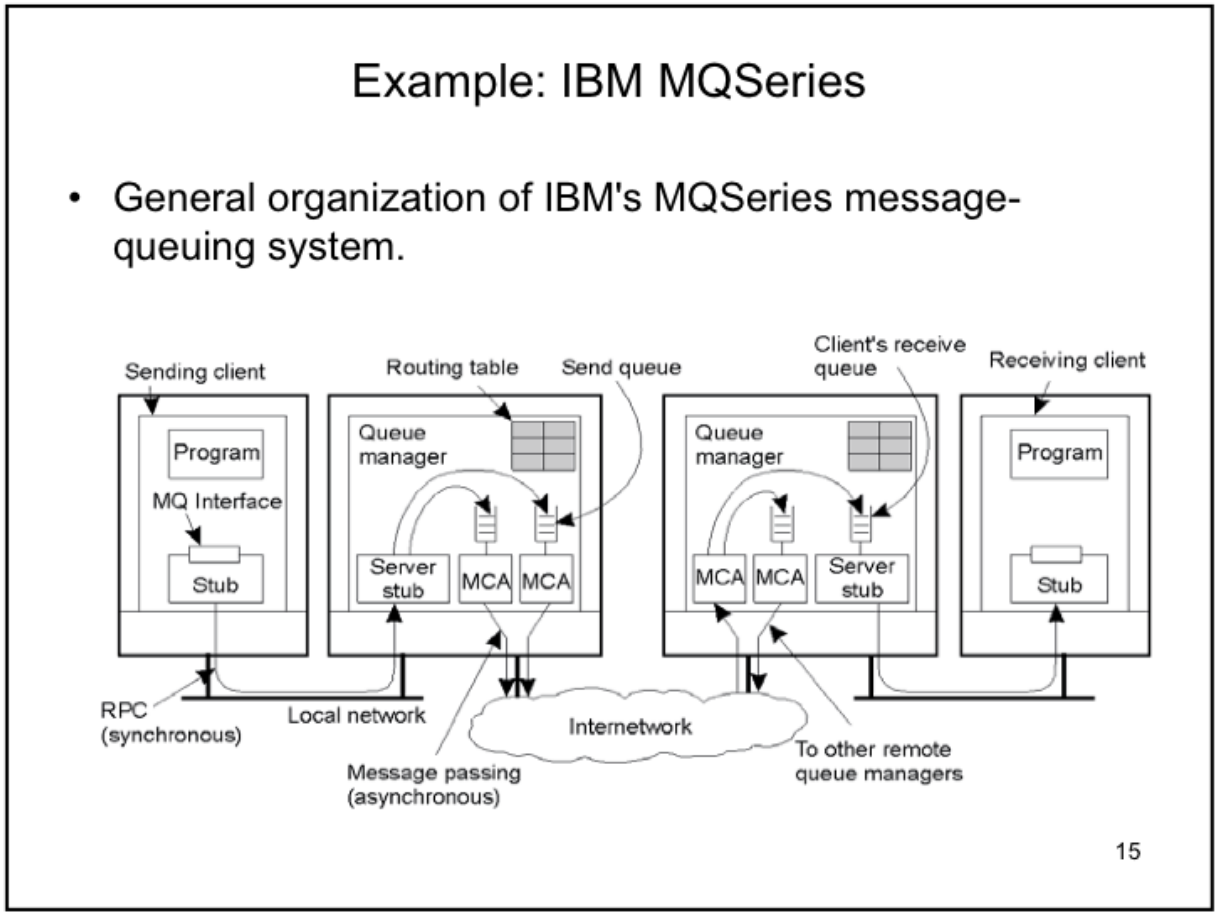


Message Queues (MQ).

- Publish/Subscribe messaging (pub/sub): M to N
- MOM is based on RPC
  - MOM uses queues to give **asynchronous** communication from the viewpoint of the sender and receiver

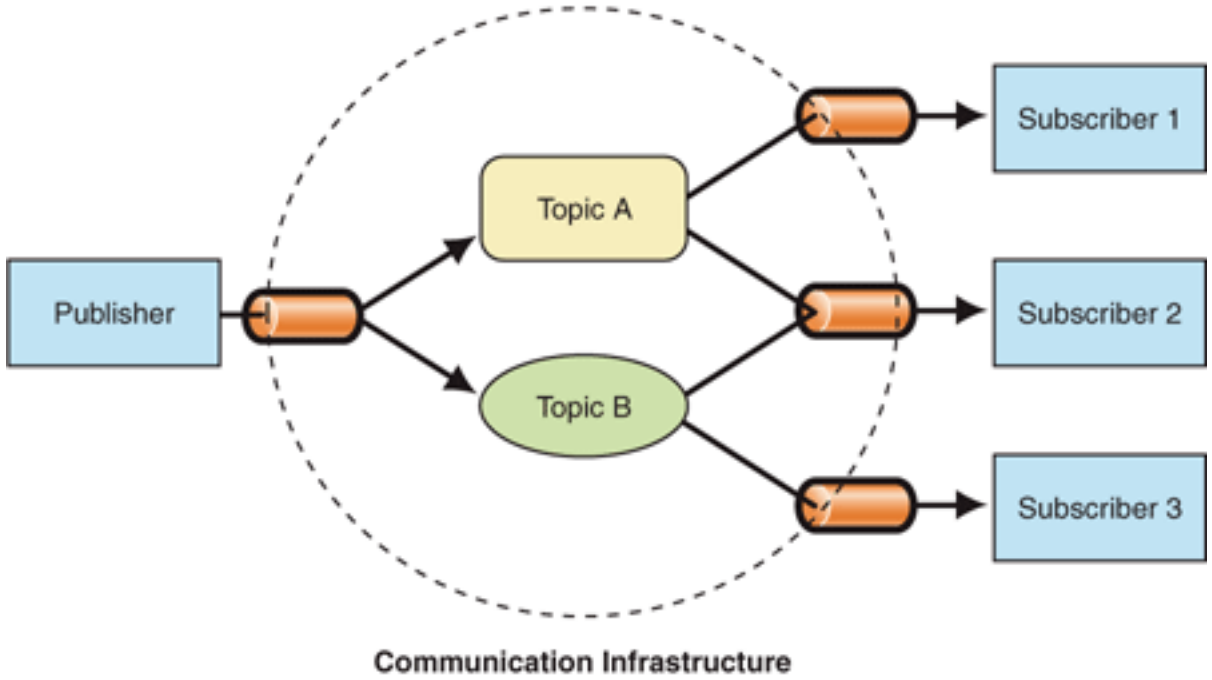
Point-to-point Messaging (P2P) Example

- MQSeries shows how P2P architecture and asynchronous communication are achieved using RPC protocols
- Message channel agent (MCA): controls message sending and receiving



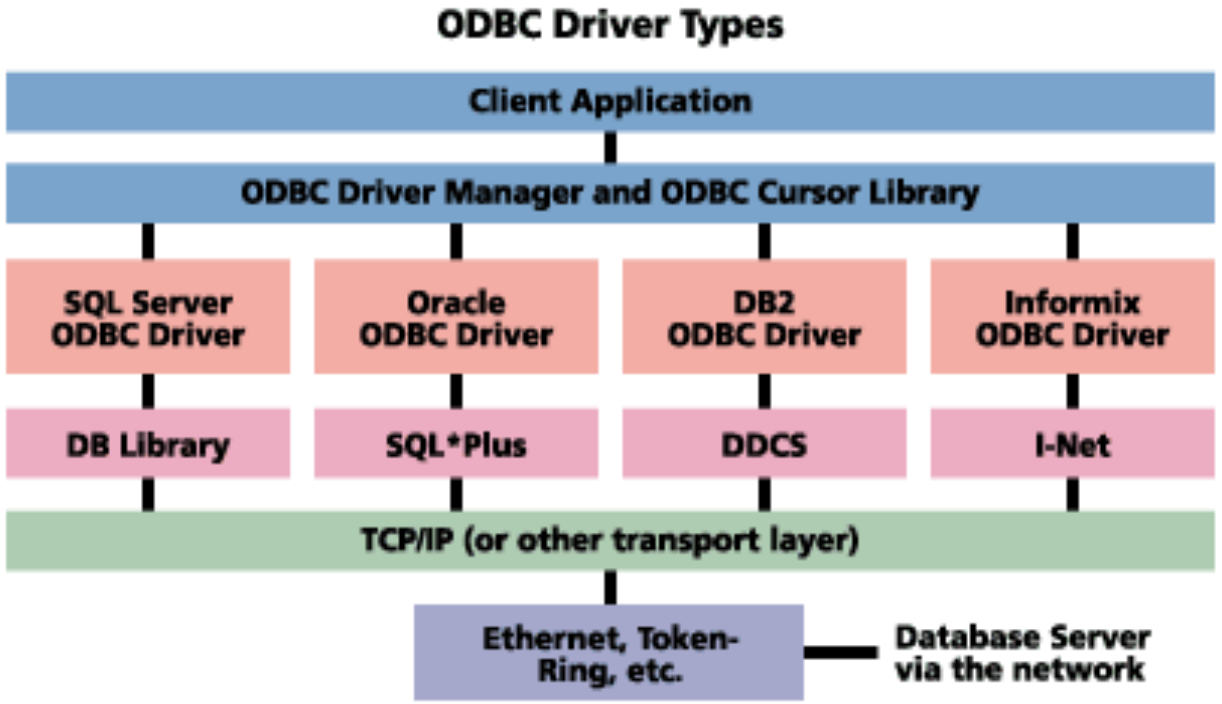
Pub/Sub

- The Pub/Sub model is an excellent message delivery model appropriate for multiple senders and multiple recipients
  - Each publisher can send out messages for multiple topics
  - Each subscriber can decide which topics he/she is interested



Database Access via ODBC

- It shows a **client-side** type of middleware
- Open Database Connectivity (ODBC)
  - A standard programming language middleware API for accessing database management systems
  - The same client application uses the different ODBC drivers to access different types of databases
- Java Database Connectivity (JDBC): an API for Java



N-tier Systems (1990s)

- N-tier systems are not a different approach than 3-tier systems, they are just an elaboration of the same pattern
- Web server: serves content to the web using http protocol
- Application server: hosts and exposes business logic and processes

Presentation – Web Browser (client)
Communication – Web Server
Logic – Application Server
Storage – Database Server

LAMP Web Scripting with N-tier Systems

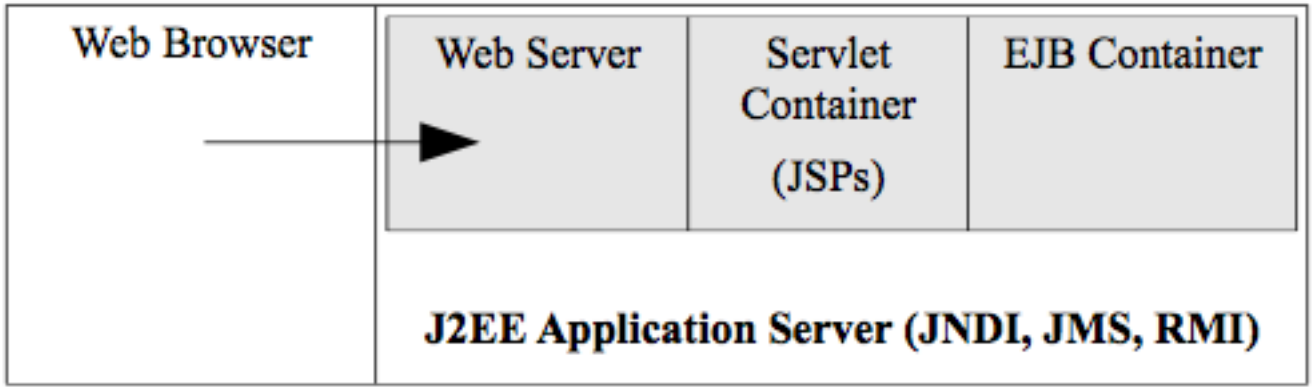
- L – the operating system. L stands for Linux as the most common one, but any operating system can be used such as Windows.
- A – the web sever. A stands for Apache HTTP Server, as the most popular open source web server, but any web server may be used.
- M – the database. M stands for MySql as a popular open-source relational database, but any database may be used.
- P – the scripting language. P originally stood for Perl which is a popular scripting language and oddly enough, many scripting languages begin with P such as Python and PHP.
  - Any scripting language may be used, however, such as Ruby and JavaScript.
  - Scripting languages are characterized as interpreted and dynamically typed.

MEAN Web Scripting with N-tier Systems

- M – MongoDB, a NoSQL database
- E – Express.js, a web application framework that runs on Node.js
- A – Angular, a JavaScript MVC (model, view, control) framework that runs in browser JavaScript engines
- N – Node.js, an execution environment for event-driven server-side and networking applications
- MEAN applications can be written in one language, namely JavaScript, for both server-side and client-side execution environments.
  - An open source project by IS students: https://github.com/rogueriderhood/mean-project/

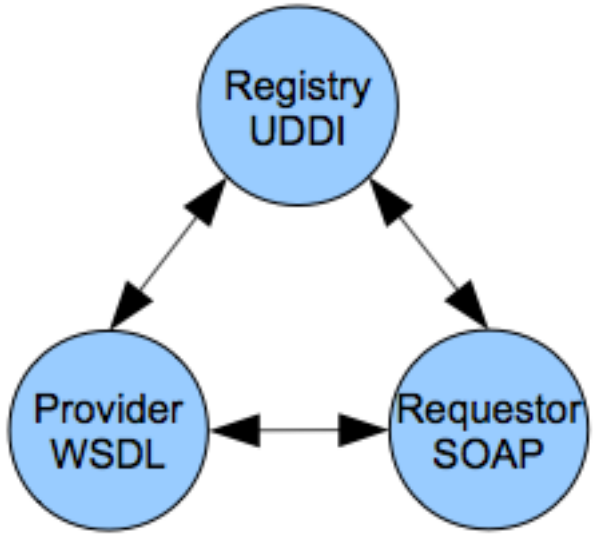
J2EE (Enterprise Edition) Application Server

- Java naming and directory interface (JNDI): A naming service for containers
- The Java messaging service (JMS): MOM service offered by Java frameworks
- Remote method invocation (RMI): Java framework version of object-oriented RPC
- Servlet Container: a server-side software component for objects to receive a requests and generate responses. A servlet is often built as Java Server Pages (JSPs)
- Enterprise JavaBeans (EJB) : a server-side software component for business logic
- It is still widely used and can provide Web services



Services (2000s)

- Standard service contracts
  - Participants have agreements
  - They should also be discoverable by using some kind of registry or directory
- Loose coupling
  - The participants have minimal dependencies on each other
- Encapsulation
  - Services should hide their logic from the outside world as a black box
  - This increases flexibility, reusability and increases composability
  - Services should also have location transparency where users do not care where the services are located
- Statelessness
  - Keep track of as little state as possible
  - This is a requirement for loose coupling and encapsulation



Principles in Distributed System Evolution

- A lot of concepts/components were developed to enable network-based communication among distributed computers via messages
  - Marshalling, RPC Runtime, IIOP, IDL, message queue, MCA, etc.
- Some new techniques/models are built on top of existing techniques/models
  - RPC -> MOM -> Pub/Sub
  - Many seemingly different techniques/models (NFS, ODBC, transaction middleware, CORBA and DCOM) are all built on top of RPC
- Some new techniques/models are extensions of existing techniques/models
  - 2 tier -> 3 tier -> n tier -> service

Extensible Markup Language (XML)

- Markup language: text document with annotation (normally using tags)
  - **H**yper**T**ext **M**arkup **L**anguage (HTML)
  - E**X**tensible **M**arkup **L**anguage (XML)
  - E**X**tensible **H**yper**T**ext **M**arkup **L**anguage (XHTML)
  - etc.
- XML documents form a tree structure
- Well-formed XML VS. Valid XML
- XML validation
  - Document type definition (DTD)
  - XML Schema

Well-formed XML

- It contains only properly encoded, legal Unicode characters
- None of the special syntax characters (<, &) appear except when performing their markup-delineation roles
- The begin, end, and empty-element tags that delimit the elements are correctly nested, with none missing and none overlapping
- The element tags are case-sensitive - the beginning and end tags must match exactly
- There is a single "root" element that contains all the other elements
- Well-form check command: $>xmllint --noout shiporder.xml

Valid XML

- The declaration in line 1 is contains question mark characters and is called a **processing instruction**. It refers the version and encoding for the XML document
- Line 2 has a reference to an external DTD file that contains the DTD
  - It can be replaced by embedding DTD content
- Line 3 is the root **tag** for the document. Note that it contains an **attribute**. Any XML tag may have an attribute and it must be quoted.
- Note that even though *item* is repeated, it uses the same tag. Never create tags like item1, item2, etc

```
<?xml version="1.0" encoding="utf-8"?>
<!DOCTYPE shiporder SYSTEM "shiporder.dtd">
<shiporder orderid="889923">
 <orderperson>John Smith</orderperson>
 <shipto>
  <name>Ola Nordmann</name>
  <address>Langgt 23</address>
  <city>4000 Stavanger</city>
  <country>Norway</country>
 </shipto>
 <item>
  <title>Empire Burlesque</title>
  <note>&lt; Special Edition &gt; </note>
  <quantity>1</quantity>
  <price>10.90</price>
 </item>
 <item>
  <title>Hide your heart</title>
  <quantity>1</quantity>
  <price>9.90</price>
 </item>
</shiporder>
```

Document Type Definition (DTD)

- The declaration of the DTD in the XML document has the syntax where *SYSTEM* refers to that fact that the DTD is a private implementation for this document rather than a standard. It would change to PUBLIC if it was a standard.
  - <!DOCTYPE root-element SYSTEM "file.dtd" >
- DTDs do not have XML syntax. They have their own syntax.
- The !ELEMENT declares an element (also called a tag).
- The child elements of a tag are declared as an ordered list in parentheses. If an element can be repeated 1 or more times, it must have a plus sign (+) after it. The character star (*) means 0 or more and so makes elements optional.
- A leaf node of the hierarchy is declared #PCDATA which means **parsed character data** and it is the text of the content.
- The *< and >* are XML built-in entities for the less than and greater than (< >) characters. XML markup characters cannot be used because they would confuse a parser, so these pre-defined entities must replace them.
- There are no data types in DTDs. Everything is text.
- The !ATTLIST declares an attribute for an element and typically declares it as CDATA which means **character data**. This means that the XML parser does not parse it.
- One can require a document to have an attribute in order to be valid by using #REQUIRED.

DTD Example

```
<!ELEMENT shiporder (orderperson, shipto, item+)>
<!ELEMENT orderperson (#PCDATA)>
<!ELEMENT shipto (name, address, city, country)>
<!ELEMENT name (#PCDATA)>
<!ELEMENT address (#PCDATA)>
<!ELEMENT city (#PCDATA)>
<!ELEMENT country (#PCDATA)>
<!ELEMENT item (title, note*, quantity, price)>
<!ELEMENT title (#PCDATA)>
<!ELEMENT note (#PCDATA)>
<!ELEMENT quantity (#PCDATA)>
<!ELEMENT price (#PCDATA)>
<!ATTLIST shiporder orderid CDATA #REQUIRED>
```

- Validation command: $>xmllint --noout --valid shiporder.xml

Demo

- Well-form check command
  - xmllint --noout shiporder.xml
  - xmllint --noout shiporder-not-well-formed.xml
  - xmllint --noout shiporder-well-formed-not-valid.xml
- Validation check command
  - xmllint --noout --valid shiporder.xml
  - xmllint --noout --valid shiporder-well-formed-not-valid.xml