

# AlN/GaN insulated gate HEMTs with HfO<sub>2</sub> gate dielectric

D.A. Deen, S.C. Binari, D.F. Storm, D.S. Katzer, J.A. Roussos, J.C. Hackley and T. Gougousi

AlN/GaN single heterojunction MOS-HEMTs grown by molecular beam epitaxy have been fabricated utilising HfO<sub>2</sub> high-*K* dielectrics deposited by atomic layer deposition. Typical DC transfer characteristics of 1.3  $\mu\text{m}$  gate length devices show a maximum drain current of 950 mA/mm and a transconductance of 210 mS/mm with gate currents of 5  $\mu\text{A}/\text{mm}$  in pinch-off. Unity gain cutoff frequencies,  $f_t$  and  $f_{\text{max}}$ , were measured to be 9 and 32 GHz, respectively.

**Introduction:** The AlN/GaN high electron mobility transistor (HEMT) is an attractive structure for extending the frequency performance of III-N based transistors. This stems from the very thin AlN barrier layer that is utilised, which permits a significant reduction in gate length while maintaining an appropriate gate-to-channel aspect ratio to mitigate short channel effects. Recently, the AlN/GaN HEMT has shown its potential by demonstrating some of the highest current densities and transconductances in a single heterostructure with appreciable cutoff frequencies [1–3]. However, a major issue in such structures is high Schottky gate currents due to tunnelling through the thin AlN cap, which necessitates the insertion of a gate insulator. High-*K* dielectrics have matured to where they are now of interest in application to MOS-gated devices [4]. In this Letter, the first demonstration of an ALD deposited HfO<sub>2</sub> gate-dielectric AlN/GaN MOS-HEMT is presented. Owing to the insertion of a 3.6 nm HfO<sub>2</sub> layer, the gate current in these devices was extremely low, typically 5  $\mu\text{A}/\text{mm}$ . This is particularly noteworthy since this result was achieved in a structure with a thin 4.5 nm AlN barrier layer. In this Letter, we report on DC, RF and power performance of the AlN/GaN HEMT.

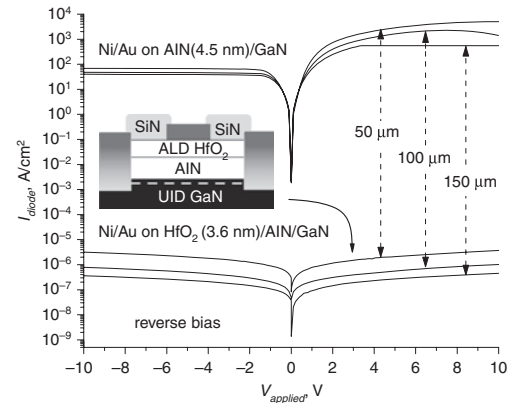
**Device growth and fabrication:** The AlN/GaN heterojunctions were grown by plasma assisted MBE on 2 inch diameter semi-insulating 4H-SiC substrates. The procedures for SiC substrate preparation are similar to those we have described elsewhere [5, 6]. The 60 nm-thick AlN nucleation layer was grown, followed by subsequent unintentionally doped (UID) GaN buffer and AlN barrier layers with thicknesses of 1  $\mu\text{m}$  and 4.5 nm, respectively. The AlN barrier thickness was chosen on the basis of work by Cao *et al.* who showed a minimum sheet resistance in single heterojunction AlN/GaN HEMTs with AlN thicknesses between 3–5 nm [7]. The GaN growth rate was determined to be 1.2  $\text{\AA}/\text{s}$  from optical reflectance measurements. All epitaxial layers were grown without interruptions.

Contactless resistance measurements on the unprocessed sample showed sheet resistances in the range 600–800  $\Omega/\square$ , which we speculate is due to an AlN cap layer thickness variation across the wafer surface. Device fabrication was initiated by e-beam evaporation of Ti/Al/Ni/Au contact metallisation, which were annealed at 800°C for 30 s to form ohmic contacts. Contact resistances were found to be in the range 0.8–1.1  $\Omega/\text{mm}$ , determined by circular transfer length method measurements. Mesa isolation was achieved via a BCl<sub>3</sub>/Cl<sub>2</sub> plasma etch.

HfO<sub>2</sub> films were deposited from tetrakis (ethylmethyl) amino hafnium (TEM AHf) and water at 250°C using a hot wall stainless steel flow tube atomic layer deposition (ALD) reactor. The fixed volume approach as described elsewhere was used for the delivery of both reagents [8, 9]. The Ni/Au gate metallisation was then defined and deposited through standard photolithography and e-beam evaporation. A BCl<sub>3</sub>/Cl<sub>2</sub> plasma etch was applied to open windows in the HfO<sub>2</sub> to access the ohmic metal for the final overlay metallisation. This was followed by the deposition of a 100 nm-thick PECVD SiN film and a subsequent photolithographic patterning and SF<sub>6</sub> plasma etch to open windows to the overlay metal contacts for probing.

**Device characterisation:** Hall effect measurements were performed both prior to HfO<sub>2</sub> deposition and after gate metallisation.  $R_{\text{sh}}$  decreased on average by 12% through an increase in sheet density, suggesting the partial passivation of surface states. Room temperature 2DEG density and mobility after HfO<sub>2</sub> deposition was found to be  $1.48 \times 10^{13} \text{ cm}^{-2}$  and 620  $\text{cm}^2/\text{Vs}$ , respectively. No change in mobility was observed, which indicates the ALD HfO<sub>2</sub> is a low damage process. Fig. 1 shows

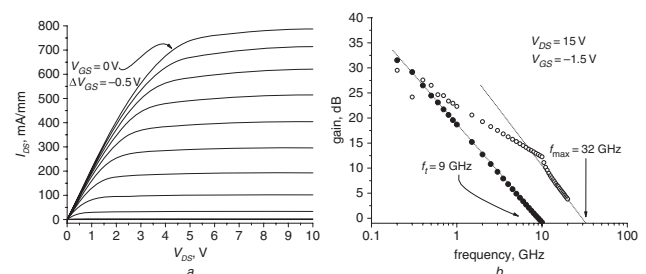
two-terminal diode current density for both Ni/Au-AlN and Ni/Au-HfO<sub>2</sub> Schottky diodes with 150, 100 and 50  $\mu\text{m}$  diameter diode dot sizes. A 7 to 8 order of magnitude reduction in diode current down to approximately 1  $\mu\text{A}/\text{cm}^2$  was observed in the sample with the 3.6 nm HfO<sub>2</sub> dielectric compared to the sample without the dielectric layer, indicating excellent gate-current suppression due to the dense ALD dielectric. It should be noted that, owing to high reverse bias Schottky diode current for the uninsulated Ni/Au-AlN diodes, output characteristics of HEMTs with such gates could not be taken and therefore are not compared. This is consistent with other reports in literature [1–3].



**Fig. 1** Current density comparison of Ni/Au Schottky on AlN/GaN (above) and HfO<sub>2</sub>/AlN/GaN (below) diodes

Each curve set demonstrates typical values for 150, 100 and 50  $\mu\text{m}$  diameter dots  
Inset: Insulated gate structure

Representative drain characteristics for 1.3  $\mu\text{m}$  gate length ( $L_G$ ), 150  $\mu\text{m}$  gate width ( $W_G$ ), and 5  $\mu\text{m}$  source–drain spacing MOS-HEMT are shown in Fig. 2. As seen in the Figure, a current density of  $\sim 800 \text{ mA}/\text{mm}$  at  $V_{GS} = 0 \text{ V}$  was measured. A maximum drain–source current of 950 mA/mm at  $V_{GS} = +4 \text{ V}$  and transconductance of 210 mS/mm at  $V_{DS} = +10 \text{ V}$  was measured despite the high sheet and contact resistances (not shown). Analysis of capacitance–voltage characteristics of the HfO<sub>2</sub>/AlN stacked capacitor confirmed the dielectric constant of the HfO<sub>2</sub> to be  $\sim 20$ , which is typical of ALD HfO<sub>2</sub>. As shown in Fig. 2a, the MOS-HEMTs have excellent pinch-off characteristics, demonstrating that leakage current through the AlN barrier is dramatically suppressed by the ALD HfO<sub>2</sub> gate insulator. The threshold voltage is  $-4.1 \text{ V}$ . In deep pinch-off ( $V_{GS} = -7 \text{ V}$ ,  $V_{DS} = 10 \text{ V}$ ,  $I_{DS} = 7 \mu\text{A}/\text{mm}$ ) the gate leakage current was measured to be 5  $\mu\text{A}/\text{mm}$  (not shown). The off-state breakdown voltage was  $>25 \text{ V}$  with the criterion of  $I_{DS} = 1 \text{ mA}/\text{mm}$  under pinch-off conditions. S-parameter measurements at a quiescent bias of  $V_{DS} = 15 \text{ V}$  and  $V_{GS} = -1.5 \text{ V}$  yield a current gain cutoff frequency,  $f_t$ , of 9 GHz and a power gain cutoff frequency,  $f_{\text{max}}$ , of 32 GHz, as shown in Fig. 2b. A Focus Microwaves load-pull system was used to measure the output power at 2 GHz. An output power of 2.6 W/mm and a PAE of 33% was measured.



**Fig. 2** IV drain characteristics

a  $L_g = 1.3 \mu\text{m}$ ,  $W_g = 150 \mu\text{m}$ . Threshold voltage occurs at  $V_{GS} = -4.1 \text{ V}$   
b Small-signal measurements

**Conclusions:** An AlN/GaN MOS-HEMT employing a 3.6 nm ALD HfO<sub>2</sub> gate dielectric has been demonstrated. Despite higher sheet and contact resistances, 1.3  $\mu\text{m}$  gate lengths and a non-optimised growth, these devices showed maximum drain currents of 950 mA/mm and

210 mS/mm transconductance. Unity gain cutoff frequencies,  $f_t$  and  $f_{\max}$  were measured to be 9 and 32 GHz for 1.3  $\mu\text{m}$  long gates, respectively. Most notable was the reduction in gate current to 5  $\mu\text{A}/\text{mm}$  in deep pinch off conditions ( $V_{GS} = -7\text{ V}$ ,  $V_{DS} = 10\text{ V}$ ) in a structure with an overall barrier thickness of 8 nm. Such performance exemplifies the potential for ultrathin AlN/GaN heterostructures in conjunction with ALD  $\text{HfO}_2$  dielectric layers for the application to high-speed, high-power III-N technology.

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23 December 2008  
doi: 10.1049/el.2009.3688

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